



ATTORNEY'S DOCKET TAN-296
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:)
) Group Art Unit: 1615
NAKADE; KAMEYAMA)
) Examiner: B. FUBARA
Serial No. 10/078,402)
)
Filed: February 21, 2002)

For: **METAL OXIDE-ORGANOPOLYSILOXANE HYBRID POWDER AND A METHOD
FOR THE PREPARATION THEREOF AND A COSMETIC COMPOSITION
THEREWITH**

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Commissioner for Patents
Alexandria, VA 22313-1450

Sir:

An Information Disclosure Statement is submitted herewith
pursuant to 37 C.F.R. §1.97-1.98. Please note the following
particulars:

[NOTE: One only of items a, b, c, and d must be checked.]

[] a. The enclosed statement is being filed within three
months of the filing date of a national application, or
within three months of the date of entry into the
national stage as set forth in 37 C.F.R. §1.491 in an
international application, or before the mailing date
of a first Office Action on the merits, whichever event
occurs last.

[X] b. The enclosed statement is being filed after a first
action on the merits but before the mailing date of a
final action under 37 C.F.R. §1.113, or a notice of
allowance under 37 C.F.R. §1.311.

The enclosed statement is accompanied by [check one]:

[] i. a certification in part (e) below as specified
in 37 C.F.R. §1.97(e), or

[X] ii. a check in the amount of \$180.00 required by
37 C.F.R. §1.17(p).

- [] c. The enclosed statement is being filed after the mailing date of a final action under 37 C.F.R. §1.113, or a notice of allowance under 37 C.F.R. §1.311, but before payment of the issue fee.

PETITION: It is requested that the information being submitted be considered. [NOTE: If box (c) is checked, the following two boxes must be checked.]

- [] PETITION FEE: A check for \$130.00 required by 37 CFR §1.17 (i) (1), is enclosed.
[] CERTIFICATION is attached to in box (e) below.

- [] d. The enclosed statement is being filed pursuant to 37 C.F.R. §1.97(i), for placement in the file.
[] e. Certification [Check one] [Certification is required only if box (b) (i) or box (c) is checked.]

- [] I hereby certify that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement,

or

- [] I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

or

- [] Appropriate certification is attached.

[X] f. If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 19-1980.

Respectfully submitted,

~~SHERMAN AND SHALLOWAY~~



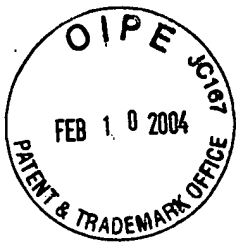
Attorney for Applicants

Roger C. Hahn

Reg. No. 46,376

SHERMAN AND SHALLOWAY

413 N. Washington Street
Alexandria, Virginia 22314
703-549-2282



ATTORNEY'S DOCKET TAN-296
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:)
NAKADE; KAMEYAMA) Group Art Unit: 1615
Serial No. 10/078,402) Examiner: B. FUBARA
Filed: February 21, 2002)

FEB 20 2004

For: **METAL OXIDE-ORGANOPOLYSILOXANE HYBRID POWDER AND A METHOD
FOR THE PREPARATION THEREOF AND A COSMETIC COMPOSITION
THEREWITH**

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Alexandria, VA 22313-1450

Sir:

Pursuant to Applicant's duty of disclosure under 37 C.F.R. §§1.56 and 1.97-1.99, the documents listed on the attached Form PTO/SB/08A are being brought to the attention of the Examiner in charge of the above-identified application. A fee is submitted for this submission because it is submitted after the mailing date of the first Office Action on the merits but before the mailing of a final Office Action or a Notice of Allowance.

Copies of the documents are attached herewith with a completed Form PTO/SB/08A. The Examiner is respectfully requested to cite the documents listed on the attached Form PTO/SB/08A in the next Office Action. In so doing, the Examiner is respectfully requested to initial in the space adjacent to the listing of each document on

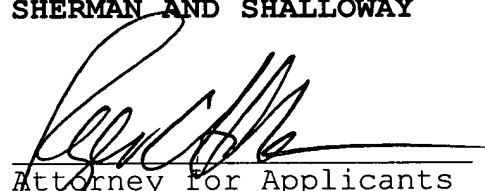
the Form PTO/SB/08A, and return a copy of the initial Form PTO/SB/08A with the next communication to Applicants, to confirm that these documents have been considered by the Examiner and made of record in this application.

If the Examiner has any questions or wishes to discuss this application, kindly telephone the undersigned at the below-listed number.

The Commissioner is hereby authorized to charge any deficiency or credit any excess to Deposit Account No. 19-1980.

Respectfully submitted,

SHERMAN AND SHALLOWAY



Attorney for Applicants
Roger C. Hahn
Reg. No. 46,376

SHERMAN AND SHALLOWAY
413 N. Washington Street
Alexandria, Virginia 22314
703-549-2282

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	2
-------	---	----	---

Complete if Known

Application Number	10/078,402
Filing Date	February 21, 2002
First Named Inventor	NAKADE
Art Unit	1615
Examiner Name	Fubara
Attorney Docket Number	TAN-296

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

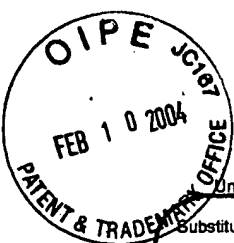
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ²
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY			
		JP-2001064395	03-13-2001	KOSE CORP.		
		JP-10095852	04-14-1998	NIPPON STEEL		
		JP-07265686	10-17-1995	KANSAI SHIN		

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/078,402
Filing Date	February 21, 2002
First Named Inventor	NAKADE
Art Unit	1615
Examiner Name	Fubara
Attorney Docket Number	TAN-296

Sheet 2 of 2

FEB 20 2004

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		B. Wang, et al., New Ti-PTMO and Zr-PTMO Ceramer Hybrid..., Journal of Polymer Science, Vol. 29, 1991, pp. 905-909.	

Examiner Signature	Date Considered
-----------------------	--------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.